


United States Senate

## Financial Disclosures

## Annual Report for CY 2020

The Honorable Kirsten E Gillibrand (Gillibrand, Kirsten E.)

 Filed 04/30/2021 @ 1:42 PM

---

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
  - ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.
- 

☐ I *omitted* assets, transactions or liabilities because they met the three-part test for exemption.

**Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria**


Did any individual or organization pay you or your spouse more than \$200 or donate any amount to a charity on your behalf, for an article, speech, or appearance? **No**

**Part 2. Earned and Non-Investment Income**

Did you or your spouse have reportable earned income or non-investment income? **No**

**Part 3. Assets**

Did you, your spouse, or dependent child own any asset worth more than \$1000, have a deposit account with a balance over \$5,000, or receive income of more than \$200 from an asset? **Yes**

#	Asset	Asset Type	Owner	Value	In-come Type	Income
1	<b>Citi</b> (New York, NY) <i>Type:</i> Checking, Money Market Account, Savings,   <i>Private comment for Ethics:</i> One of the checking accounts is non-interest bearing	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	None (or less than \$201)
2	<b>United States Senate Federal Credit Union</b> (Washington, DC) <i>Type:</i> Checking, Money Market Account, Savings,	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	None (or less than \$201)
3	<b>United States Senate Federal Credit Union</b> (Washington, DC) <i>Type:</i> Savings,	Bank Deposit	Joint	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4	<b>TrustCo Bank</b> (Albany, NY) <i>Type:</i> Checking,	Bank Deposit	Joint	\$250,001 - \$500,000	None	None (or less than \$201)
5	<b>Windcrest LLC Stock, Medical Services, Bethesda, MD</b> <i>How Acquired:</i> Purchase  <i>Filer comment:</i> Windcrest LLC Stock, Medical Services, was sold for a loss and did not trigger the periodic reporting requirement.	Corporate Securities Stock	Spouse	None (or less than \$1,001)	None	None (or less than \$201)

#### Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you. Have you filed any paper-based PTRs in this period? **No**

#### Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset that exceeded \$1,000? **No**

## Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

## Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

## Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable liability worth more than \$10,000 at any time? **No**

## Part 8. Positions

Did you hold any outside positions during the reporting period? **No**

## Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms
1	Aug 2013	Random House Inc. - Ballantine Bantam Dell New York City, NY	Royalty Agreement	The agreement is to receive an advance and royalties based on usual and customary terms for the publication of book, titled "Off the Sidelines - Raise Your Voice, Change the World"
2	Oct 2017	Penguin Random House Children's Books New York City, NY	Royalty Agreement	The agreement is to receive an advance and royalties based on usual and customary terms for the publication of a children's book.

## Part 10. Compensation

**If this is your first report, or you are a candidate** did you receive compensation of more than \$5,000 from a single source in the **two** prior years? **This is not my first report.**

## Attachments & Comments

*No attachments added.*

*No comments added.*

*No private comments added.*